



COPY OF PAPER
ORIGINALLY FILED

541.1029US1

#4

UNITED STATES PATENT & TRADEMARK OFFICE

Re: Application of: David SCHAIBLE, et al.
Serial No.: 10/010,907
Filed: November 2, 2001
For: **PROCESS FOR PRODUCING
MICROCRYSTALLINE CELLULOSE**

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

BOX: MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

May 8, 2002

Sir:

In response to the Notice of Missing Parts dated January 18, 2002, a copy of which is enclosed, please find an executed Declaration/Power of Attorney form signed by all of the inventors and a check in the amount of \$130.00 to cover the surcharge. Also enclosed herewith is a petition for a two month extension of time for responding to the above Notice and a check in the amount of \$400.00 to cover the extension fee.

Further enclosed herewith are 6 sheets of formal drawings in compliance with 37 C.F.R. § 1.84.

If any additional fees are deemed to be due at this time, the Assistant Commissioner is

I hereby certify that the documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" in an envelope addressed to "Assistant Commissioner for Patents, Washington, DC 20231" on
May 8, 2002.

DAVIDSON, DAVIDSON & KAPPEL, LLC

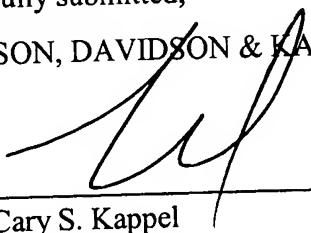
BY: Randolph L. McQueen

authorized to charge payment of the same to Deposit Account No. 50-0552.

Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

By


Cary S. Kappel
Reg. No. 36,561

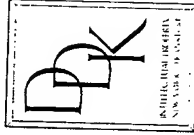
Davidson, Davidson & Kappel, LLC
485 Seventh Avenue, 14th Floor
New York, New York 10018
(212) 736-1940

2020/07/03 10:00:00

RECEIVED

JUL 03 2002

USPTO MAIL CENTER



DAVIDSON, DAVIDSON & KAPTEL, LLC
485 SEVENTH AVENUE, 14TH FLOOR
NEW YORK, NY 10018

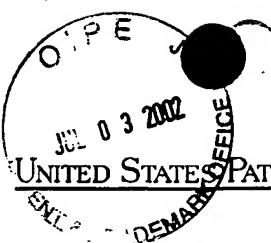
Assistant Commissioner for Patents
Washington, DC 20232

Box: Missing Parts 20231

Box: 541, 1029452

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#4



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/010,907 | 11/02/2001 | David Schaible | 541.1029US2 |

CONFIRMATION NO. 6133

23280

DAVIDSON, DAVIDSON & KAPPEL, LLC
485 SEVENTH AVENUE, 14TH FLOOR
NEW YORK, NY 10018

FORMALITIES LETTER



OC000000007333902

Date Mailed: 01/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.) (see 37 CFR 1.84(u)(1));

07/09/2002 STEUMEL 00000031 10010907

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130.00 DP

07/09/2002 STEUMEL 00000031 10010907

01 FC:105

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*A copy of this notice **MUST** be returned with the reply.*

C. Verachuk

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2020/07/07 10:07:00

COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT & TRADEMARK OFFICE

541.1029US2



Re: Application of: David SCHAIBLE, et al.

Serial No.: 10/010,907

Filed: November 2, 2001

For: **PROCESS FOR PRODUCING
MICROCRYSTALLINE CELLULOSE**

REQUEST FOR CORRECTION OF FILING RECEIPT

Box: Office of Initial Patent Examination's Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

May 8, 2002

Sir:

We have received a filing receipt, dated January 18, 2002, for the above-identified application. As indicated on the enclosed copy in red ink, please make the following corrections:

1. Applicant David Schaible resides in **Ulster Park, New York,**
2. Applicant Ivan Charles Brinkman resides in **Cedar Rapids, Iowa.**

Applicants respectfully request that a corrected filing receipt be issued.

Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

By

Cary S. Kappel (Reg. No. 36,561)

Davidson, Davidson & Kappel, LLC
485 Seventh Avenue, 14th Fl.
New York, New York 10018
(212) 736 1940

I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with proper postage with the United States Postal Service as "first class mail" with sufficient postage in an envelope addressed to "Assistant Commissioner for Patents, Washington, DC 20231" on May 8, 2002.

DAVIDSON, DAVIDSON & KAPPEL, LLC

BY:

Randolph L. McQueen

H3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 10/010,907 | 11/02/2001 | 1731 | 884 | 541.1029US2 | 6 | 28 | 2 |

23280

DAVIDSON, DAVIDSON & KAPPEL, LLC
485 SEVENTH AVENUE, 14TH FLOOR
NEW YORK, NY 10018



CONFIRMATION NO. 6133

FILING RECEIPT

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100000007333901*

Date Mailed: 01/18/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ulster Park, New York
David Schaible, ~~Residence Not Provided~~
Ivan Charles Brinkman, ~~Residence Not Provided~~; *Cedar Rapids, Iowa*

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/18/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Process for producing microcrystalline cellulose

Preliminary Class

162

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JAN 25 2002

DAVIDSON, DAVIDSON & KAPPEL

LICENSE FOR FOREIGN FILING UNDER

R

Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15



GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231
In re application of: David SCHAIBLE, et al.
Serial No.: 10/010,907
Filed: November 2, 2001
For: **PROCESS FOR PRODUCING MICROCRYSTALLINE CELLULOSE**

COPY OF PAPERS
ORIGINALLY FILED

Sir:

Transmitted herewith is a **Response to Notice of Missing Parts** in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☒ No fee for additional claims is required.
- ☐ A filing fee for additional claims calculated as shown below, is required:

| FOR: | (Col. 1) | | (Col. 2) | | SMALL ENTITY | | OR | LARGE ENTITY | |
|--|-----------|-------------|------------|---------|--------------|-----|----|--------------|------|
| | REMAINING | HIGHEST | PREVIOUSLY | PRESENT | RATE | FEE | | RATE | FEE |
| | AFTER | | | | | | | | |
| | AMENDMENT | PAID FOR | | EXTRA | | | | | |
| TOTAL CLAIMS | 28* | Minus 28** | = | 0 | x \$ 9 | \$ | | x \$ 18 | \$ 0 |
| INDEP. CLAIMS | 2 * | Minus 2 *** | = | 0 | x \$ 39 | \$ | | x \$ 78 | \$ 0 |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | | + \$130 | \$ | | + \$260 | \$ |

TOTAL: \$ OR TOTAL: \$ 0.00

- * If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

☒ Also transmitted herewith are:

- ☒ Petition for extension under 37 C.F.R. 1.136
- ☐ Other: 6 Sheets of Formal Drawings

☒ Check(s) in the amount of **\$400.00 and \$130.00** are attached to cover:

- ☐ Filing fee for additional claims under 37 C.F.R. 1.16
- ☒ Petition fee for extension under 37 C.F.R. 1.136
- ☐ Other: Surcharge

☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552. A duplicate copy of this sheet is enclosed.

- ☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

Cary S. Kappel, Reg. No. 36,561
DAVIDSON, DAVIDSON & KAPPEL, LLC
485 Seventh Avenue, 14th Floor
New York, New York 10018
(212) 736-1940

I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" in an envelope addressed to "Assistant Commissioner for Patents, Washington, D.C. 20231" on May 8, 2002.

DAVIDSON, DAVIDSON & KAPPEL, LLC
BY: *Handwritten signature*